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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Shu KOBAYASHI :  
Serial No. 10/587,075 : **Mail Stop: PCT Branch**  
Filed September 29, 2006 : Attorney Docket No. 2006\_1192A  
METHOD OF ENANTIOSELECTIVE :  
NUCLEOPHILIC ADDITION REACTION :  
OF ENAMIDE TO IMINE AND SYNTHESIS :  
METHOD OF  $\alpha$ -AMINO- $\gamma$ -KETO ACID ESTER :

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

**REQUEST FOR CORRECTED FILING RECEIPT**  
**AND**  
**CORRECTED NOTICE OF ACCEPTANCE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

Enclosed herewith is a copy of the Official Filing Receipt for the above-identified application marked with an arrow indicating a correction to be made thereto. Accordingly, it is requested that the PTO issue a Corrected Filing Receipt reflecting this correction.

Also enclosed is a copy of the Notice of Acceptance marked with an arrow indicating a correction to be made. It is requested that the PTO issue a Corrected Notice of Acceptance reflecting this correction.

The correct International filing date, i.e. January 24, 2005, is apparent from copies of the PCT papers already of record.

Respectfully submitted,

Shu KOBAYASHI

By:

Michael R. Davis

Registration No. 25,134

Attorney for Applicant

MRD/pth  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
April 27, 2007



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/587,075	09/29/2006	1614	1390	2006_1192A	7	1

513  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K STREET N. W.  
SUITE 800  
WASHINGTON, DC 20006-1021

CONFIRMATION NO. 6955

FILING RECEIPT



\*OC000000023232676\*

Date Mailed: 04/04/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Shu Kobayashi, Chiyoda-ku, JAPAN;

**Power of Attorney:** The patent practitioners associated with Customer Number 513.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/01282 ~~01/25/2004~~

Foreign Applications

JAPAN 2004-016407 01/23/2004

01/24/2005

RECEIVED  
APR 09 2007  
WENDEROTH, LIND & PONACK

If Required, Foreign Filing License Granted: 04/03/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/587,075**

Projected Publication Date: 07/12/2007

Non-Publication Request: No

Early Publication Request: No

**Title**

Method of enantioselective nucleophilic addition reaction of enamide to imine and synthesis method of  $\alpha$ -amino- $\gamma$ -keto acid ester

**Preliminary Class**

514

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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### **LICENSE FOR FOREIGN FILING UNDER**

**Title 35, United States Code, Section 184**

**Title 37, Code of Federal Regulations, 5.11 & 5.15**

### **GRANTED**

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## UNITED STATES PATENT AND TRADEMARK OFFICE



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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/587,075	Shu Kobayashi	2006_1192A

INTERNATIONAL APPLICATION NO.
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PCT/JP05/01282

I.A. FILING DATE	PRIORITY DATE
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~~01/25/2004~~

01/23/2004

01/24/2005

CONFIRMATION NO. 6955

371 ACCEPTANCE LETTER



\*OC000000023232677\*

513

WENDEROTH, LIND & PONACK, L.L.P.  
2033 K STREET N. W.  
SUITE 800  
WASHINGTON, DC 20006-1021

RECEIVED  
APR 09 2007

WENDEROTH, LIND & PONACK

Date Mailed: 04/04/2007

**NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495**

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>09/29/2006</u>	<u>09/29/2006</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 07/21/2006
- English Translation of the IA filed on 07/21/2006
- Copy of the International Search Report filed on 07/21/2006
- Oath or Declaration filed on 09/29/2006
- Request for Immediate Examination filed on 07/21/2006
- U.S. Basic National Fees filed on 07/21/2006
- Assignment filed on 09/29/2006
- Priority Documents filed on 07/21/2006
- Specification filed on 07/21/2006
- Claims filed on 07/21/2006
- Abstracts filed on 07/21/2006

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

**PART 1 - ATTORNEY/APPLICANT COPY**

FORM PCT/DO/EO/903 (371 Acceptance Notice)